

# **MS SECURITIES**

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Regd. Office : 601-B, Ashiana Plaza, Budh Marg, Patna (Bihar) 800001  
Admin. Office : 32, Vaibhav Apartment, Budh Marg, Patna (Bihar) 800001  
Tel. No. 9608398301, email : investor@mssl.co.in, website : www.mssl.co.in  
CIN NO L08032BR1992PLC004781

To,  
The General Manager,  
Listing Operation,  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai- 400 001

Date: 07<sup>th</sup> January, 2019

**Re: Security Code No. 531039**

**Sub: Shareholding Pattern for the quarter ended 31<sup>st</sup> December, 2018**

Respected Sir/Madam,

Pursuant to Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements), 2015, we are enclosing herewith the Shareholding Pattern of the Company for the quarter ended 31<sup>st</sup> December, 2018.

This is for your information and records. We hope you will find the same in order.

Thanking you.

Yours faithfully,  
For **MS Securities Limited**



**Akansha Rawat**  
*Company Secretary-cum-Compliance Officer*  
**Membership No. 55367**

**Encl: as above**



<b>General information about company</b>	
Scrip code	531039
NSE Symbol	NA
MSEI Symbol	NA
ISIN	
Name of the company	MS Securities Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	Yes	No	Yes	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	8	1759500			1759500	41.4	1759500		1759500	42.97
(B)	Public	1652	2335000	155700		2490700	58.6	2335000		2335000	57.03
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1660	4094500	155700		4250200	100	4094500		4094500	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				41.4					0
(B)	Public				58.6					0
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	323400			323400	7.61	323400		323400	7.9
(d)	Any Other (specify)	4	1436100			1436100	33.79	1436100		1436100	35.07
Sub-Total (A)(1)		8	1759500			1759500	41.4	1759500		1759500	42.97
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	1759500			1759500	41.4	1759500		1759500	42.97
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	110600	0		110600	2.6	110600		110600	2.7
Sub-Total (B)(1)		2	110600	0		110600	2.6	110600		110600	2.7
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1597	1173300	131200		1304500	30.69	1173300		1173300	28.66
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	19	800500	24100		824600	19.4	800500		800500	19.55
(e)	Any Other (specify)	34	250600	400		251000	5.91	250600		250600	6.12
Sub-Total (B)(3)		1650	2224400	155700		2380100	56	2224400		2224400	54.33
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1652	2335000	155700		2490700	58.6	2335000		2335000	57.03
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1660	4094500	155700		4250200	100	4094500		4094500	100
Total (A+B+C)		1660	4094500	155700		4250200	100	4094500		4094500	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				7.61					0
(d)				33.79					0
Sub-Total (A) (1)				41.4					0
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				41.4					0
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				2.6					0
Sub-Total (B) (1)				2.6					0
(3)	Non-institutions								
(a(i))				30.69					0
(a(ii))				19.4					0
(e)				5.91					0
Sub-Total (B) (3)				56					0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				58.6					0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					0
Total (A+B+C )				100					0

<b>Individuals/Hindu undivided Family</b>					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	MANOJ KUMAR SARAF	SANJEEV KUMAR SARAF	SANTOSH SARAF	SANGITA DEVI SARAF	<a href="#">Click here to go back</a>
PAN (II)	AGFPS4763H	AJHPS4595E	AKQPS2509A	AJKPS5626D	Total
No. of fully paid up equity shares held (IV)	202300	64100	30100	26900	323400
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	202300	64100	30100	26900	323400
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.76	1.51	0.71	0.63	7.61
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg:X	202300	64100	30100	26900	323400
Class eg:y					
Total	202300	64100	30100	26900	323400
Total as a % of Total Voting rights	4.94	1.57	0.74	0.66	7.9
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	4.76	1.51	0.71	0.63	7.61
<b>Number of Locked in shares (XII)</b>					
No. (a)					
As a % of total Shares held (b)					
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>					
No. (a)					



As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	0	0	0	0	0
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type					

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SARAF ERGONOMICS FINANCIAL SERVICES PRIVATE LIMITED	THIRD EYE COMMUNICATIONS PRIVATE LIMITED	LEPTON SOFTWARE EXPORT & RESEARCH PRIVATE LIMITED	NATURE GREEN AGRI PRIVATE LIMITED	
PAN (II)	AAHCS3463R	AABCT8616P	AAACL6778R	AABCN7611E	Total
No. of the Shareholders (I)	1	1	1	1	4
No. of fully paid up equity shares held (IV)	1088000	170100	132000	46000	1436100
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1088000	170100	132000	46000	1436100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	25.6	4	3.11	1.08	33.79
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	1088000	170100	132000	46000	1436100
Class eg:y					
Total	1088000	170100	132000	46000	1436100
Total as a % of Total Voting rights	26.57	4.15	3.22	1.12	35.07
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	25.6	4	3.11	1.08	33.79
Number of Locked in shares (XII)					

No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	0	0	0	0	0
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

### Mutual Funds

Serial No.	1	
Name of the Shareholders (I)	CREDIT CAPITAL INVESTMENT TRUST CO. LTD.	<a href="#">Click here to go back</a>
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	100000	100000
No. Of Partly paid-up equity shares held (V)	0	0
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	100000	100000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.35	2.35
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	100000	100000
Class eg:y		
Total	100000	100000
Total as a % of Total Voting rights	2.44	2.44
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.35	2.35
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0

Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	

**Text Block**

Textual Information(1)

PAN not available in physical form

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	VED PRAKASH SINGH	MALTI DEVI SINGH	RAJNI DIPCHAND DESAI	VIVEK KUMAR	OM PRAKASH SINGH	DHARMENDRA KUMAR ASTHANA	SANJAY SINGH
PAN (II)	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z
No. of fully paid up equity shares held (IV)	80000	63000	60500	49500	49300	49300	48100
No. Of Partly paid-up equity shares held (V)	0	0	0	0	0	0	0
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	80000	63000	60500	49500	49300	49300	48100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.88	1.48	1.42	1.16	1.16	1.16	1.13
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg: X	80000	63000	60500	49500	49300	49300	48100
Class eg:y							
Total	80000	63000	60500	49500	49300	49300	48100
Total as a % of Total Voting rights	1.95	1.54	1.48	1.21	1.2	1.2	1.17
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.88	1.48	1.42	1.16	1.16	1.16	1.13
<b>Number of Locked in shares (XII)</b>							
No. (a)							
As a % of total Shares held (b)							
Number of equity	0	0	0	0	0	0	0

shares held in dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN	Textual Information(1)	Textual Information(2)	Textual Information(3)	Textual Information(4)	Textual Information(5)	Textual Information(6)	Textual Information(7)



<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>						
Serial No.	8	9	10	11	12	13
Name of the Shareholders (I)	RITURAJ ASTHANA	SUSHIL KUMAR SUREKA	SHALINI SINGH	GOPAL AGARWAL	VIJAY KUMAR AGARWAL	BANSIDHAR AGARWAL
PAN (II)	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z
No. of fully paid up equity shares held (IV)	47200	44900	42800	54000	44600	42900
No. Of Partly paid-up equity shares held (V)	0	0	0	0	0	0
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	47200	44900	42800	54000	44600	42900
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.11	1.06	1.01	1.27	1.05	1.01
<b>Number of Voting Rights held in each class of securities (IX)</b>						
Class eg: X	47200	44900	42800	54000	44600	42900
Class eg:y						
Total	47200	44900	42800	54000	44600	42900
Total as a % of Total Voting rights	1.15	1.1	1.05	1.32	1.09	1.05
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.11	1.06	1.01	1.27	1.05	1.01
<b>Number of Locked in shares (XII)</b>						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in	0	0	0	0	0	0

dematerialized form (XIV)						
Reason for not providing PAN						
Reason for not providing PAN	Textual Information(8)	Textual Information(9)	Textual Information(10)	Textual Information(11)	Textual Information(12)	Textual Information(13)

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>	
Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	676100
No. Of Partly paid-up equity shares held (V)	0
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	676100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.91
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	676100
Class eg:y	
Total	676100
Total as a % of Total Voting rights	16.51
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	15.91
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	0

Reason for not providing PAN	
Reason for not providing PAN	

**Text Block**

Textual Information(1)	PAN not available in physical form
Textual Information(2)	PAN not available in physical form
Textual Information(3)	PAN not available in physical form
Textual Information(4)	PAN not available in physical form
Textual Information(5)	PAN not available in physical form
Textual Information(6)	PAN not available in physical form
Textual Information(7)	PAN not available in physical form
Textual Information(8)	PAN not available in physical form
Textual Information(9)	PAN not available in physical form
Textual Information(10)	PAN not available in physical form
Textual Information(11)	PAN not available in physical form
Textual Information(12)	PAN not available in physical form
Textual Information(13)	PAN not available in physical form

**Any Other (specify)**

Serial No.	1	
Category	Non-Resident Indian (NRI)	
Category / More than 1 percentage	More than 1 percentage of shareholding	
Name of the Shareholders (I)	HABIB AHMED SIDDIQUE	<a href="#">Click here to go back</a>
PAN (II)	ZZZZZ9999Z	Total
No. of the Shareholders (I)	1	0
No. of fully paid up equity shares held (IV)	101100	0
No. Of Partly paid-up equity shares held (V)	0	0
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	101100	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.38	0
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	101100	0
Class eg:y		
Total	101100	0
Total as a % of Total Voting rights	2.47	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.38	0
<b>Number of Locked in shares (XII)</b>		

No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0
Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	

**Text Block**

Textual Information(1)

PAN not available in physical form



